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# Pseudo Dirichlet sets and a new cardinal invariant (General and Geometric Topology)

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# Pseudo Dirichlet sets and a new cardinal invariant

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## Abstract

Z. Bukovská [5] proved that  $\mathfrak{p} \leq \text{non}(\mathcal{PD})$ , where  $\mathcal{PD}$  denotes the set of all pseudo-Dirichlet sets. In this paper, we shall show that  $\mathfrak{p}$  can be replaced by  $\mathfrak{h}$  in this inequality. It is known that  $\mathfrak{p} < \mathfrak{h}$  is consistent (see [1]). So, the equality  $\mathfrak{p} = \text{non}(\mathcal{PD})$  can not be proved. This is a partial answer of problem 2 in [6]. Next, we shall introduce a certain cardinal invariant  $\mathfrak{f}$  and show that  $\text{add}(\mathcal{N}) \leq \mathfrak{f} \leq \text{non}(\mathcal{PD})$ . Also, we shall construct two generic models such that one satisfies the inequality  $\mathfrak{b} < \mathfrak{f}$  and another satisfies the inequality  $\mathfrak{f} < \text{non}(\mathcal{PD})$ .

## 1 Introduction

Throughout this paper, we shall use the standard terminologies for forcing of set theory and cardinal invariants on  $\omega$  (see [3]). For each  $a \in \mathbf{R}$ , we denote by  $\|a\|$  the distance of  $a$  and the set of integers  $\mathbf{Z}$ . Let  $A$  be a subset of the unit interval  $[0, 1]$ .  $A$  is called a *pseudo Dirichlet set*, if there exists an  $X \in [\omega]^\omega$  such that

$$\forall a \in A \forall^\infty n \in X \left( \|na\| < \frac{1}{|X \cap n| + 1} \right).$$

We denote the set of all pseudo Dirichlet sets by  $\mathcal{PD}$ . Z. Bukovská [5] showed that  $\mathfrak{p} \leq \text{non}(\mathcal{PD})$ . Let  $\mathfrak{h}$  be the least cardinal  $\kappa$  such that the boolean algebra  $\mathcal{P}(\omega)/\text{fin}$  does not satisfy the  $\kappa$ -distributive law.

**Theorem 1.1**  $\mathfrak{h} \leq \text{non}(\mathcal{PD})$ .

**Proof** For each  $a \in [0, 1]$ , let  $\|a\|^*$  denote the unique real number  $r$  such that  $0 \leq r < 1$  and  $a = r \pmod{\mathbf{Z}}$ . To show this theorem, let  $A \subset [0, 1]$  and  $|A| < \mathfrak{h}$ .

For each  $a \in A$ , take a maximal almost disjoint set  $W_a \subset [\omega]^\omega$  such that

$$\forall n \in X \forall m \in X \setminus n ( ||na||^* - ||ma||^* < \frac{1}{|X \cap n| + 1} ), \text{ for all } X \in W_a.$$

Since  $|A| < \mathfrak{h}$ , there exists a maximal almost disjoint set  $W$  such that  $W$  is a refinement of all  $W_a$ 's. Take a  $Y \in W$ . Choose some  $Y' = \{y_i \mid i < \omega\} \in [Y]^\omega$  such that

$$|Y \cap [y_i, y_{i+1})| \geq i \text{ and } y_{i+1} - y_i < y_{i+2} - y_{i+1}, \text{ for all } i < \omega.$$

Let  $Z = \{y_{i+1} - y_i \mid i < \omega\}$ . We complete the proof by showing that

$$\forall^\infty n \in Z ( ||na|| < \frac{1}{|Z \cap n| + 1} ), \text{ for all } a \in A.$$

Let  $a \in A$ . Since  $W$  is a refinement of  $W_a$ , there exists an  $X \in W_a$  such that  $Y \subset^* X$ . Take an  $i < \omega$  such that  $Y \setminus y_i \subset X$ . Then, for any  $j \in [i+1, \omega)$ , it holds that

$$|(y_{j+1} - y_j)a| \leq ||y_{j+1}a||^* - ||y_ja||^* \leq \frac{1}{|X \cap y_j| + 1} < \frac{1}{j+1}. \quad \square$$

## 2 Combinatorial principle $\text{wIn}_2$

T. Bartoszynski [2] introduced the notion of slalom and, using this, investigated systematically the relations between combinatorics and cardinal invariants which are associated by the null ideal  $\mathcal{N}$  and the meager ideal  $\mathcal{M}$ . The following statement  $\text{In}_2$  and the theorem are some of them.

**Definition 2.1** For  $h \in {}^\omega\omega$  and  $F \subset {}^\omega\omega$ , define the statement  $\text{In}_2(F, h)$  by

$$\text{In}_2(F, h) \equiv \exists \varphi \in \prod_{n < \omega} [\omega]^{\leq h(n)} \forall f \in F \forall^\infty n < \omega ( f(n) \in \varphi(n) ).$$

The statement  $\text{In}_2(F, \text{id}_\omega)$  is denoted by  $\text{In}_2(F)$ , where  $\text{id}_\omega$  is the identity function on  $\omega$ .

**Theorem 2.1** (Bartoszynski [2])  $\text{add}(\mathcal{N}) = \min \{ |F| \mid F \subset {}^\omega\omega \text{ and not } \text{In}_2(F) \}.$

In this section, we shall introduce the statement  $\text{wIn}_2$  which is some variant of  $\text{In}_2$ . And we shall study relations between  $\text{wIn}_2$  and  $\text{non}(\mathcal{PD})$ .

**Definition 2.2** For  $H, h \in {}^\omega\omega$  and  $F \subset \prod_{n < \omega} H(n)$ , define the statement  $\text{wIn}_2(F, h, H)$

by

$$\text{wIn}_2(F, h, H) \equiv \exists \varphi \in \prod_{n < \omega} [H(n)]^{\leq h(n)} \forall f \in F \forall^\infty n < \omega ( f(n) \in \varphi(n) ).$$

$\text{wIn}_2(F, H)$  denotes the statement  $\text{wIn}_2(F, \text{id}_\omega, H)$ . Let

$$\mathbf{f} = \min \{ |F| \mid \exists H \in {}^\omega\omega ( F \subset \prod_{n < \omega} H(n) \text{ and not } \text{wIn}_2(F, H) ) \}.$$

The following lemma can be easily proved by the result of Bartoszynski.

**Lemma 2.2**  $\text{add}(\mathcal{N}) = \min \{ \mathbf{b}, \mathbf{f} \}.$  □

The main result of this section is the following theorem.

**Theorem 2.3**  $\mathbf{f} \leq \text{non}(\mathcal{PD}).$

To show this theorem, we need some notations and lemmas.

A sequence  $\langle I_n \mid n < \omega \rangle$  is called an *interval partition* of  $\omega$ , if there exists an increasing function  $f \in {}^\omega\omega$  such that  $f(0) = 0$  and, for all  $n < \omega$ ,  $I_n = \{ k < \omega \mid f(n) \leq k < f(n+1) \}.$

The next lemma can be deduced from [6, Proposition 1]. But, for a convenience for the reader, we give a proof.

**Lemma 2.4** Let  $n < \omega$  and  $0 < m, k < \omega$ . Then, there exists some  $p < \omega$  such that

$$\forall a_0, \dots, a_{m-1} \in [0, 1] \exists s < \omega ( n \leq s < p \text{ and } \forall i < m ( \|sa_i\| < \frac{1}{k} ) ).$$

**Proof** By induction on  $1 \leq m < \omega$ .

Case 1.  $m = 1$ .

We claim that  $p = nk + 1$  satisfies the condition. To show this, let  $a \in [0, 1]$ .

Define the mapping  $\sigma : X = \{ nj \mid j = 1, \dots, k \} \rightarrow k$  by, for each  $j < k$ ,

$$\sigma(nj) = \text{“ the unique } i \text{ such that } \frac{i}{k} \leq \|nja\|^* < \frac{i+1}{k} \text{”}.$$

If there exists some  $nj$  such that  $\sigma(nj) = 0$  or  $k - 1$ , then  $s = nj$  is a required one.

Otherwise, there exist  $i < j \leq k$  such that  $\sigma(ni) = \sigma(nj)$  and  $s = n(j - i)$  is a required one.

Case 2.  $m = m' + 1$ .

By induction hypothesis, there exist  $0 = p_0 < p_1 < \dots < p_k$  such that

$$\forall a_0, \dots, a_{m'-1} \in [0, 1] \exists s < \omega ( p_j + n \leq s < p_{j+1} \text{ and } \forall i < m' ( \|sa_i\| < \frac{1}{2k} ) ),$$

for  $j < k$ .

We show that  $p = p_k$  satisfies the condition. So, let  $a_0, \dots, a_{m'} \in [0, 1]$ . By the choice of  $p_j$  (for  $j < k$ ), there exist  $s_0, \dots, s_{k-1} < \omega$  such that

$$p_j + n \leq s_j < p_{j+1} \text{ and } \forall i < m' ( \|s_j a_i\| < \frac{1}{2k} ), \text{ for } j < k.$$

Then, it holds that

$$\|s_j a_{m'}\| < \frac{1}{k}, \text{ for some } j < k$$

or

$$\|s_j a_{m'} - s_{j'} a_{m'}\| < \frac{1}{k}, \text{ for some } j < j' < k.$$

In either cases, similar to case 1, we can take a required element  $s$ . □

**Corollary 2.5** *There is an interval partition  $\langle I_n \mid n < \omega \rangle$  which satisfies*

$$(*) \left\{ \begin{array}{l} \text{For any } n < \omega \text{ and } a_0, \dots, a_{n-1} \in [0, 1), \text{ there exists some } k \in I_n \text{ such that} \\ \|ka_i\| < 2^{-n}, \text{ for all } i < n. \end{array} \right.$$

□

**Proof of Theorem 2.3** Take an interval partition  $\langle I_n \mid n < \omega \rangle$  which satisfies

(\*) in the previous corollary. Define  $H \in {}^\omega \omega$  by

$$H(n) = 2^n \sum_{k \leq n} |I_k|, \text{ for all } n < \omega.$$

To show the theorem, let  $A \subset [0, 1]$  and  $|A| < \mathfrak{f}$ . For each  $a \in A$ , define  $f_a \in \prod_{n < \omega} H(n)$

by

$$\frac{f_a(n)}{H(n)} \leq a < \frac{f_a(n) + 1}{H(n)}, \text{ for all } n < \omega.$$

Since  $|A| < \mathfrak{f}$ , there exists a  $\varphi \in \prod_{n < \omega} [H(n)]^n$  such that

$$\forall a \in A \forall^\infty n < \omega ( f_a(n) \in \varphi(n) ).$$

For each  $n < \omega$ , take  $s_n \in I_n$  such that

$$\|s_n \frac{j}{H(n)}\| < 2^{-n}, \text{ for all } j \in \varphi(n).$$

We complete the proof by showing that

$$\forall a \in A \forall^\infty n < \omega ( \|s_n a\| < 2^{-n+1} ).$$

So, let  $a \in A$ . Take an  $m < \omega$  such that

$$\forall n \geq m ( f_a(n) \in \varphi(n) ).$$

Then, for any  $n \geq m$ , since  $\frac{f_a(n)}{H(n)} \leq a < \frac{f_a(n)+1}{H(n)}$ , it holds that

$$s_n \frac{f_a(n)}{H(n)} \leq s_n a < s_n \frac{f_a(n)+1}{H(n)}.$$

So,

$$\|s_n a\| \leq \|s_n \frac{f_a(n)}{H(n)}\| + \frac{s_n}{H(n)} < 2^{-n+1}.$$

□

Note that what we really proved is  $\min\{|F| \mid \text{not } \text{wIn}_2(F, H)\} \leq \text{non}(\mathcal{PD})$ ,

where  $H$  is a function defined in the proof of Theorem 2.3.

### 3 The cardinal invariant $\mathbf{f}$

In the previous section, we introduced the cardinal invariant  $\mathbf{f}$  and showed the equality  $\text{add}(\mathcal{N}) = \min\{\mathbf{b}, \mathbf{f}\}$ . Both of  $\text{add}(\mathcal{N})$  and  $\mathbf{b}$  appear in the Cichoń's diagram. It seems to be an interesting problem to check the relations between  $\mathbf{f}$  and other cardinals in the diagram. Since it is known that  $\mathcal{PD} \subset \mathcal{N} \cap \mathcal{M}$ , it holds that  $\mathbf{f} \leq \min\{\text{non}(\mathcal{N}), \text{non}(\mathcal{M})\}$ . So,  $\mathbf{f}$  seems to be not so large. If the inequality  $\mathbf{f} \leq \mathbf{b}$  always holds, then  $\mathbf{f}$  is equal to  $\text{add}(\mathcal{N})$  and  $\mathbf{f}$  does not become a new cardinal invariant. In this section, we shall show that there exists a generic model which satisfies the inequality  $\mathbf{b} < \mathbf{f}$ .

**Definition 3.1** For each  $H \in {}^\omega\omega$ , define the forcing notion  $Q(H)$  by

$$Q(H) = \{p \in \prod_{n < \omega} [H(n)]^{\leq n} \mid \exists k < \omega \forall n < \omega ( |p(n)| \leq k )\},$$

$$q \leq p \text{ iff } \forall n < \omega (p(n) \subset q(n)).$$

Define  $\tau_H : Q(H) \rightarrow \omega$  by

$$\tau_H(p) = \min\{k < \omega \mid \forall n < \omega (|p(n)| \leq k)\}.$$

Using the density argument, the following lemma can be proved easily.

**Lemma 3.1** *Let  $H \in {}^\omega\omega$  and  $\mathcal{G}$  be  $V$ -generic on  $Q(H)$ . In  $V[\mathcal{G}]$ , define  $\varphi \in \prod_{n < \omega} \mathcal{P}(H(n))$*

*by*

$$\varphi(n) = \bigcup \{p(n) \mid p \in \mathcal{G}\}.$$

*Then, it holds that*

- (1)  $|\varphi(n)| \leq n$ , for all  $n < \omega$ ,
- (2)  $\forall g \in (\prod_{n < \omega} H(n))^V \forall^\infty n < \omega (g(n) \in \varphi(n))$ . □

**Lemma 3.2**  *$Q(H)$  satisfies the  $\omega_1$ -chain condition.*

**Proof** Let  $W \subset Q(H)$  and  $|W| = \omega_1$ . Replace  $W$  by a certain subset of  $W$ , if necessary, we can assume that, for some  $k < \omega$ ,

$$\tau_H(p) = k \text{ and } p \restriction 2k = p' \restriction 2k, \text{ for all } p, p' \in W.$$

Then, every elements of  $W$  are mutually compatible. □

**Lemma 3.3** *Every unbounded family of functions in  ${}^\omega\omega \cap V$  is still unbounded in  $V^{Q(H)}$ .*

Bartszinski and Judah [3, Theorem 6.4.13] proved that any finite support iteration by forcing notions which preserved the unboundedness in  ${}^\omega\omega$  does not add a dominating function. So, starting a ground model which satisfies CH, by choosing appropriate  $H$ 's, we can construct an  $\omega_2$ -stage finite support iteration  $P$  such that  $V^P$  satisfies  $\mathfrak{b} = \omega_1$  and  $\mathfrak{f} = \omega_2$ .

In order to prove Lemma 3.3, we need a result of Brendle and Judah [4]. Let  $P$  be a forcing notion which satisfies the  $\omega_1$ -chain condition and  $\tau : P \rightarrow \omega$  be a homomorphism. Following Brendle and Judah [4], we say that  $(P, \tau)$  is *nice*, if it

satisfies

$$\left\{ \begin{array}{l} \text{For any predence set } \{p_i \mid i < \omega\} \subset P, \text{ it holds that} \\ \forall m < \omega \exists n < \omega \forall q \in P \text{ ( if } \tau(q) \leq m, \text{ then } \exists i < n ( q \upharpoonright p_i ) ). \end{array} \right.$$

**Theorem 3.4** (Brendle and Judah [4]) *Let  $(P, \tau)$  be a nice forcing notion. Then, every unbounded family of functions in  ${}^\omega\omega \cap V$  is still unbounded in  $V^{Q(H)}$ .  $\square$*

**Proof of Lemma 3.3** It suffices to show that  $(Q(H), \tau_H)$  is nice. So, let  $\{p_i \mid i < \omega\}$  be a predence subset of  $Q(H)$  and  $m < \omega$ . To get a contradiction, assume that, for each  $n < \omega$ , there exists a condition  $q_n \in Q(H)$  such that

$$\tau_H(q_n) \leq m \text{ and } \forall i < n ( q_n \perp p_i ).$$

Since  $\{q_n \upharpoonright k \mid n < \omega\}$  is a finite set for every  $k < \omega$ , we can choose  $X_k \in [\omega]^\omega$  by induction on  $k < \omega$  such that

$$X_{k+1} \subset X_k \text{ and } \forall n, n' \in X_k ( q_n \upharpoonright (k+1) = q_{n'} \upharpoonright (k+1) ).$$

Define  $r \in Q(H)$  by

$$r(k) = q_n(k), \text{ for some/all } n \in X_k.$$

Note that  $\tau_H(r) \leq m$ . Since  $\{p_i \mid i < \omega\}$  is predence, there exists  $i < \omega$  such that  $r$  is compatible with  $p_i$ . Let  $k = \tau_H(p_i) + m$ . Take  $n \in X_k$  such that  $i < n$ . Since  $i < n$ , it holds that  $p_i$  and  $q_n$  are incompatible. Since  $\tau_H(p_i) + \tau_H(q_n) \leq k$ , it holds that  $\exists j < k ( |p_i(j) \cup q_n(j)| > j )$ . By this and the fact that  $r \upharpoonright k = q_n \upharpoonright k$ ,  $r$  is incompatible with  $p_i$ . This is a contradiction.  $\square$

## 4 Consistency of $\mathfrak{f} < \text{non}(\mathcal{PD})$

Concerning about the cardinal invariant associated by  $\text{In}_2$ , T. Bartoszynski [2] pointed out implicitly that, if two functions  $h_0, h_1 \in {}^\omega\omega$  satisfies that

$$\lim_{n < \omega} h_i(n) = \infty, \text{ for } i = 0, 1,$$

then  $\min\{|F| \mid \text{not } \text{In}_2(F, h_0)\} = \min\{|F| \mid \text{not } \text{In}_2(F, h_1)\}$ .

In this section, we shall show that, for any  $H \in {}^\omega\omega$ ,  $\mathfrak{f}$  may not be equal to  $\min\{|F| \mid \text{not } \text{wIn}_2(F, H)\}$ . Using this, we shall prove the consistency of  $\mathfrak{f} <$



$\text{non}(\mathcal{PD})$ . Henceforce,  $H \in {}^\omega\omega$  is an arbitrary, but fixed function on  $\omega$ . For each  $k < \omega$ , let

$$T_k (= T_k^H) = \{ q \in Q(H) \mid \tau_H(q) \leq k \}.$$

Define  $H_0, H_1 : \omega \times \omega \rightarrow \omega$  by

$$H_0(k, m) = \min \left\{ l < \omega \mid \begin{array}{l} \forall \delta : l \rightarrow [\omega_2]^{\leq k} \exists S \in [l]^m \exists v \in [\omega_2]^{\leq k} \\ \forall i, j \in S \text{ ( if } i \neq j, \text{ then } \delta(i) \cap \delta(j) = v ) \end{array} \right\},$$

$$H_1(k, m) = \min \left\{ l < \omega \mid \begin{array}{l} \forall \delta : l \rightarrow T_k \exists S \in [l]^m \exists q \in Q(H) \\ \forall i \in S \text{ ( } q \leq \delta(i) \text{ )} \end{array} \right\}.$$

Note that  $H_0$  is a recursive function. And,  $H_1$  is an  $H$ -recursive function, since it holds that

$$\exists q' \in Q(H) \forall q \in S \text{ ( } q' \leq q \text{ )} \quad \text{iff} \quad \forall i < mk \text{ ( } |\bigcup_{q \in S} q(i)| \leq i \text{ )}, \text{ for any}$$

$$S \in [T_k]^m.$$

Define  $H_2, H^* : \omega \rightarrow \omega$  by

$$H_2(k) = \underbrace{H_1(k, H_1(k, H_1(\dots, H_1(k, k+1)\dots)))}_{k \text{ times}},$$

$$H^*(k) = H_0(k, H_2(k)).$$

Define an  $\omega_2$ -stage finite support iteration  $P_\alpha$  (for  $\alpha \leq \omega_2$ ) associated with  $\dot{Q}_\alpha$  (for  $\alpha < \omega_2$ ) by

$$\Vdash_\alpha \dot{Q}_\alpha = Q(H), \quad \text{for all } \alpha < \omega_2.$$

Let  $P(H) = P_{\omega_2}$ . It holds that

$$V^{P(H)} \models \forall F \subset \prod_{n < \omega} H(n) \text{ ( if } |F| \leq \omega_1, \text{ then } \text{wIn}_2(F, H) \text{ )}.$$

The purpose of this section is to show

**Theorem 4.1**  $V^{P(H)} \models \text{not } \text{wIn}_2((\prod_{n < \omega} H^*(n))^V, H^*).$

**Corollary 4.2** *Suppose that  $V \models \text{CH}$ . Let  $H \in {}^\omega\omega$  be the function which is defined in the proof of Theorem 2.3. Then, it holds that*

$$V^{P(H)} \models \mathfrak{f} = \omega_1 \text{ and } \text{non}(\mathcal{PD}) = \omega_2.$$

□

To show Theorem 4.1, we need some definitions and lemmas. Let

$$D = \{ p \in P(H) \mid \forall \alpha \in \text{supp}(p) ( p \restriction \alpha \text{ decides } \tau_H(p(\alpha)) ) \}.$$

The following lemma can be proved easily.

**Lemma 4.3** *D is dense in P(H).* □

Define  $\rho : D \rightarrow \omega$  by

$$\rho(p) = \min \left\{ k < \omega \mid \begin{array}{l} |\text{supp}(p)| \leq k \\ \text{and} \\ \forall \alpha \in \text{supp}(p) ( p \restriction \alpha \Vdash_{\alpha} \tau_H(p(\alpha)) \leq k ) \end{array} \right\}.$$

For each  $k < \omega$ , let

$$D_k = \{ p \in D \mid \rho(p) \leq k \}.$$

**Lemma 4.4** *Let  $k < \omega$  and  $\delta : H^*(k) \rightarrow D_k$ . Then, there exist  $p^+ \in P(H)$  and  $P(H)$ -name  $\dot{S}$  which satisfy (1), (2).*

- (1)  $\Vdash \dot{S} \subset H^*(k)$  and  $|\dot{S}| \geq k + 1$ .
- (2)  $\forall i < H^*(k) \forall p' \leq p^+ ( \text{ if } p' \Vdash i \in \dot{S}, \text{ then } p' \leq \delta(i) )$ .

**Proof** Let  $k < \omega$ . Define  $l_m$  (for  $m \leq k$ ) by

$$\begin{cases} l_0 &= k + 1 \\ l_{m+1} &= H_1(k, l_m) \end{cases}.$$

Note that  $H^*(k) = H_0(k, l_k)$ . Assume that  $\delta : H^*(k) \rightarrow D_k$ . Since  $\langle \text{supp}(\delta(i)) \mid i < H^*(k) \rangle : H^*(k) \rightarrow [\omega_2]^{\leq k}$ , by the choice of  $H_0$ , there exist  $S_0 \in [H^*(k)]^{l_k}$  and  $v \in [\omega_2]^{\leq k}$  such that

$$\forall i, j \in S_0 ( \text{ if } i \neq j, \text{ then } \text{supp}(\delta(i)) \cap \text{supp}(\delta(j)) = v ).$$

Define  $p \in P(H)$  by

$$\text{supp}(p) = \bigcup \{ \text{supp}(\delta(i)) \mid i \in S_0 \} \setminus v,$$

$$p(\alpha) = \delta(i)(\alpha), \text{ if } \alpha \in \text{supp}(\delta(i)) \text{ and } i \in S_0.$$

Let  $n = |v|$  and  $v = \{ \alpha_1, \dots, \alpha_n \}_<$ . Note that  $n \leq k$ . By induction on  $1 \leq m \leq n$ ,

choose  $P_{\alpha_m}$ -names  $\dot{S}_m, \dot{q}_m$  such that

$$(3) \quad \Vdash_{\alpha_m} \dot{S}_m \in [\dot{S}_{m-1}]^{l_{k-m}} \text{ and } \dot{q}_m \in \dot{Q}_{\alpha_m},$$

$$(4) \quad p \restriction \alpha_m \cup \langle \dot{q}_j \mid 1 \leq j < m \rangle \Vdash_{\alpha_m} \dot{q}_m \leq \delta(i)(\alpha_m), \text{ for all } i \in \dot{S}_m.$$

We must show that these can be chosen. Assume that  $m \leq n$  and  $\dot{S}_j, \dot{q}_j$  were chosen, for  $j < m$ . Since  $H_1$  is absolute and  $H_1(k, l_{k-m}) = l_{k-m+1}$ , it holds that

$$p \restriction \alpha_m \cup \langle \dot{q}_j \mid 1 \leq j < m \rangle \Vdash_{\alpha_m} \exists q \in Q(H) \exists S \in [\dot{S}_{m-1}]^{l_{k-m}} \forall i \in S (q \leq \delta(i)(\alpha_m)).$$

Using this, it can be possible to choose  $\dot{S}_m$ , and  $\dot{q}_m$ .

Let  $p^+ = p \cup \langle \dot{q}_m \mid 1 \leq m \leq n \rangle$ ,  $\dot{S} = \dot{S}_n$ . It is clear that this  $p^+$  and  $\dot{S}$  satisfy (1) in the lemma. In order to show that these satisfy (2), assume that

$$i < H^*(k) \text{ and } p' \leq p^+ \text{ and } p' \Vdash i \in \dot{S}.$$

Since  $\Vdash_P \dot{S} = \dot{S}_n \subset \dot{S}_{n-1} \subset \dots \subset S_0$ ,  $i \in S_0$ . For each  $m = 1, \dots, n$ , since  $\dot{S}_m$  is a  $P_{\alpha_m}$ -name, it holds that  $p' \restriction \alpha_m \Vdash_{\alpha_m} i \in \dot{S}_m$ . By this, since  $p' \leq p^+$ , we have that

$$p' \restriction \alpha_m \Vdash_{\alpha_m} \dot{q}_m \leq \delta(i)(\alpha_m), \text{ for all } m = 1, \dots, n.$$

So,  $p' \leq \delta(i)$ . □

**Lemma 4.5** *Let  $k < \omega$ . Assume that a  $P(H)$ -name  $\dot{a}$  satisfies*

$$\Vdash \dot{a} \in [H^*(k)]^{\leq k}.$$

*Then, there exists some  $j < H^*(k)$  such that*

$$\forall p \in D_k ( \text{ not } p \Vdash j \in \dot{a} ).$$

**Proof** Suppose not. Take  $\delta : H^*(k) \rightarrow D_k$  such that

$$\delta(j) \Vdash j \in \dot{a}, \text{ for all } j < H^*(k).$$

By the previous lemma, there exist  $p^+ \in P(H)$  and  $P(H)$ -name  $\dot{S}$  such that

$$\Vdash \dot{S} \subset H^*(k) \text{ and } |\dot{S}| \geq k + 1,$$

$$\forall i < H^*(k) \forall p' \leq p^+ ( \text{ if } p' \Vdash i \in \dot{S}, \text{ then } p' \leq \delta(i) ).$$

Then, it holds that  $p^+ \Vdash \dot{S} \subset \dot{a}$ . This contradicts that  $p^+ \Vdash |\dot{S}| \geq k + 1$  and  $|\dot{a}| \leq k$ . □

**Proof of Theorem 4.1** Assume that  $\Vdash_{P(H)} \dot{\varphi} \in \prod_{k < \omega} [H^*(k)]^k$ . Using the previous

lemma, for each  $k < \omega$ , take a  $j_k < H^*(k)$  such that

$$\forall p \in D_k ( \text{ not } p \Vdash j_k \in \dot{\varphi}(k) ).$$

We claim that  $\Vdash \exists^\infty k < \omega (j_k \notin \dot{\varphi}(k))$ . Suppose not. Then, there exist  $p \in D$  and  $n < \omega$  such that

$$p \Vdash \forall k > n (j_k \in \dot{\varphi}(k)).$$

Take  $k > n$  such that  $p \in D_k$ . Then, it holds that  $p \Vdash j_k \in \dot{\varphi}(k)$ . But, this contradicts the choice of  $j_k$ .  $\square$

#### Added in proof:

After the completion of this paper, Dr. Kada [7] have proved that  $\mathfrak{d} < \text{non}(\mathcal{PD})$  is consistent with ZFC.

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